# First Time-Resolved Characterization of Fusion Neutron Spectra via a Liquid Scintillation Detection System in the HL-3 Tokamak\*

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We present, for the first time, our recent advancements in time-resolved fusion neutron spectra measurements and analyses within the HL-3 tokamak. The measurements are executed using a liquid scintillation detector, which has the capacity to discriminate neutrons and  $\gamma$ -rays. Notably, the liquid scintillation detector directly acquires the pulse height spectra of recoil protons in the liquid scintillator, rather than the neutron spectra themselves. To convert the pulse height spectra of recoil protons into neutron spectra, Geant4 simulations are performed to compute the detector response matrix. Subsequently, the GRAVEL method is employed in the neutron spectrum unfolding process. As a result, the time-resolved neutron energy spectra can be obtained through time-resolved pulse amplitude spectra measurements. In this study, the optimal time-resolution for the neutron spectra is 100 ms. This indicates that the HL-3 tokamak is equipped with the ability to conduct research on the temporal evolution of neutron spectra and fast ion velocity distributions.

Keywords: Velocity distribution for fast ions, Neutron spectrum unfolding, Liquid scintillation detector, the GRAVEL method, the HL-3 tokamak

## I. INTRODUCTION

One of the fundamental prerequisites for attaining a self-3 sustained D-T burning plasma is to confine the energetic ions 4 within the fusion plasma for a sufficiently long period to heat 5 the fuel ions [1]. Among the fast fusion ions present in a D-T  $_{6}$  burning plasma, the fusion-born  $\alpha$  particles are of special sig-7 nificance. This is because they play a crucial and indispens-8 able role in fusion ignition. In addition, in D-D fusion plas-9 mas, fast ions generated through auxiliary heating are also of 10 great importance. They enable us to conduct in-depth studies on fast particle interactions [2]. Hence, achieving outstanding 12 confinement quality for fast ions is an inevitable and essential 13 requirement for future fusion reactors. Considerable research 14 efforts have revealed that fast ions are subject to redistribution 15 or expulsion by magnetohydrodynamic (MHD) modes [3–5]. <sup>16</sup> Additionally, experimental observations and theoretical anal-17 yses [6] have demonstrated that these ions, in turn, can exert a 18 non-negligible impact on the stability characteristics of MHD 19 modes. Therefore, investigating the behavior of fast ions and 20 their interaction with MHD modes in existing fusion devices 21 holds substantial significance. Within these research efforts, 22 the temporal evolution of fast ion velocity distribution func-23 tions represents a crucial parameter.

An important method for diagnosing fast ions in fusion

25 plasmas is by analyzing the neutrons produced in fusion re-26 actions [7]. The energies of these neutrons depend on both 27 the energy released during the reactions and the velocities 28 of the fast-reacting ions. Neutron emission spectrometers 29 (NESs), which measure neutron energy spectra, are thereby 30 sensitive to the velocity distribution functions of these ions. To study the temporal evolution of the fast ion velocity dis-32 tribution function, it is highly necessary to develop an NES with a sufficiently high time resolution (e.g., on the order 34 of 100 ms). Worldwide, the NESs used for fusion neu-35 tron energy spectrum diagnosis mainly include neutron time-36 of-flight spectrometers [8–10], diamond neutron spectrome-37 ters [11, 12], and scintillation neutron spectrometers [13–15]. 38 Among them, neutron time-of-flight spectrometers and dia-39 mond neutron spectrometers possess a sufficiently high en-40 ergy resolution in neutron energy spectrum measurements, 41 yet their time resolution is relatively low (usually  $\geq 10$  s). Al-42 though the energy resolution of the scintillation neutron spec-43 trometer in neutron energy spectrum measurements is inferior 44 to that of the neutron time-of-flight spectrometer and the di-45 amond neutron spectrometer, it can achieve neutron energy 46 spectrum measurements with a sufficiently high time resolu-47 tion. Therefore, the HL-3 tokamak [16] has recently devel-48 oped a liquid scintillation neutron spectrometer [17], which 49 is mainly used for measuring the temporal evolution of the 50 neutron energy spectrum.

This paper aims to be the first to achieve time resolved neutron spectrum measurement in the HL-3 tokamak by using a liquid scintillation detector in combination with an accurate neutron spectrum-unfolding method. This will provide a powerful diagnostic tool for the research on the fast-ion confinement behavior. This paper is structured as follows: Initially, a liquid scintillation detector is employed to directly measure the pulse amplitude spectrum of recoil protons with a

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59 specific time resolution (detailed in Section II). Subsequently, 60 an accurate spectrum-unfolding method is utilized to trans-61 form the pulse amplitude spectrum of recoil protons into the 62 incident neutron energy spectrum (as presented in Section 63 III). Through these steps, the measurement and analysis of the time evolution of the neutron energy spectrum are suc-65 cessfully carried out (covered in Section IV). Finally, a com-66 prehensive summary of this paper is provided in Section V.

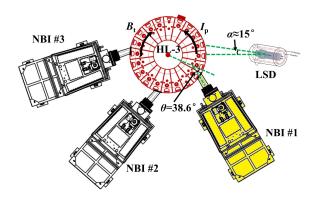
#### EXPERIMENTAL MEASUREMENTS

In this section, a detailed introduction is provided regarding 69 the experimental setup, the properties of the liquid scintilla-70 tion neutron spectrometer, as well as the experimental determination of the pulse amplitude spectrum.

## **Experimental setup**

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The liquid scintillation neutron spectrometer is positioned 73 74 on the east side of the HL-3 tokamak. It is employed to 75 measure the neutron spectrum during neutral beam injection 76 (NBI). The experimental layout is depicted in Fig. 1. In 77 the figure, 'NBI' denotes the neutral beam injection system, 115 78 while 'LSD' represents the liquid scintillation detector.



SCALE).

<sub>80</sub> lows [16]: the plasma current  $I_p$  ranges from 2.5 to 3 MA, <sub>195</sub> sion neutron spectrum, it is necessary for us to confirm the <sub>81</sub> the toroidal field  $B_{\rm t}$  varies between 2.2 and 3 T, the major ra- <sub>196</sub> n/ $\gamma$  discrimination ability under conditions of high neutron 84 than or equal to 0.5. The research program of HL-3 centers 199 tor [24]. The reason for choosing the fission chamber detector 85 on the crucial issues in the development of advanced divertor 140 as a reference is that it has almost no response to  $\gamma/X$ -rays, focuses on high-performance operation scenarios to support 142 The experimental measurement data are presented in Fig. 2. ITER and the subsequent fusion reactors, as stated in refer- 144 ences [18, 19].

91 NBI beamlines. Among them, the No.1 and No.2 NBI beam- 147 power (1.3 - 1.4 MW). The difference between them lies in 92 lines are in the same direction as the plasma current, while the 148 the different durations of NBI. In shot #6002, the NBI lasts 93 No.3 NBI beamline is in the opposite direction to the plasma 149 from 1100 ms to 1600 ms, with a duration of 500 ms, while

94 current. During the occurrence of this experiment in 2024, 95 only the No.1 NBI beamline [20] was in operation, and its <sub>96</sub> injection angle was 38.6°.

The liquid scintillation neutron spectrometer employs an 98 EJ-309 liquid scintillation detector, which is paired with a 500-Msps, 12-bit digitizer [17]. The measured neutron and  $\gamma$ /X-ray spectra are directly processed through programming on a Field Programmable Gate Array (FPGA). In an attempt to elevate the neutron count rate and, in turn, improve the time resolution of the neutron spectrum, the EJ-309 liquid scintillation detector is shielded against both  $\gamma$ /X-rays and magnetic fields. A 3-cm-thick Pb layer is used for  $\gamma$ /X-ray shielding, and a 3-mm-thick permalloy layer is applied for magnetic shielding. Notably, it is deliberately left without any shielding components or collimators specifically designed for neutrons, ensuring that neutrons can reach the detector unim-110 peded and be detected with high efficiency. The detector is approximately 8 m away from the central axis of the HL-3 112 tokamak and has an angular separation of about 15° with re-113 spect to its radial direction.

## Verification of n/ $\gamma$ discrimination

A high-performance thermonuclear plasma serves as a 116 powerful source of nuclear radiation. This radiation encompasses neutron emission resulting from the main fusion reactions and  $\gamma$ -rays generated by the interaction of supra-thermal ions with plasma impurities [21]. In fact, aside from neutron emissions and  $\gamma$ -rays, the radiation field of a nuclear fusion device also contains a large amount of hard X-rays. These hard X-rays originate from the interaction between the runaway electron beam and the wall materials. During neutral beam injection, not only is the measurement of the  $\gamma$ /X-ray spectrum affected by neutron radiation [22], but the measurement of neutrons is also influenced by  $\gamma/X$ -rays [23].

Previous experimental results have indicated that the EJ-309 liquid scintillation detector demonstrates excellent  $n/\gamma$ discrimination capabilities [17]. However, with the increase 130 in NBI power, the neutron yield will increase accordingly. As Fig. 1. (Color online) The layout of experimental devices (NOT TO  $_{131}$  a result, both the neutron count rate and the  $\gamma$ /X count rate 132 of the detector will increase significantly, and under such cir-133 cumstances, the n/ $\gamma$  discrimination ability will decline. Since The design parameters of HL-3 are presented as fol- 134 this paper focuses on measuring the time-evolution of the fudius R is 1.78 m, the minor radius a is 0.65 m, the elongation 137 yield. The confirmation method is to compare it with the neuis less than or equal to 1.8, and the triangularity  $\delta$  is less 138 tron flux monitor (NFM) based on the fission chamber detecconcepts and high-heat-flux components. Moreover, it also 141 and its signal can be considered as a purely neutron signal.

In Fig. 2, two adjacent discharges, namely shot #6002 and shot #6003, are selected. These two shots have simi-The HL-3 tokamak is planned to be equipped with three 146 lar plasma currents (about 300 kA) and the maximum NBI

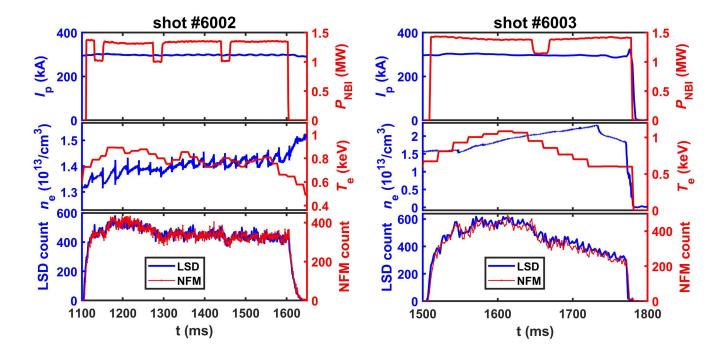


Fig. 2. (Color online) Time traces of some key parameters for shot #6002 (left panel) and shot #6003 (right panel). The parameters include:  $^{\prime}I_{\rm P}$  (plasma current),  $^{\prime}P_{\rm NBI}$  (NBI power),  $^{\prime}n_{\rm e}$  (line-averaged electron density),  $^{\prime}T_{\rm e}$  (electron temperature),  $^{\prime}LSD$  count (the count of the liquid scintillation detector), and 'NFM count' (the count of the neutron flux monitor).

150 in shot #6003, the NBI lasts from 1510 ms to 1780 ms, with 179 in which,  $Q_{slow}$  and  $Q_{total}$  represent the charge in the slow 153 abrupt drop of both the plasma current and the NBI power 182 tillation neutron spectrometer is shown in Fig. 3. 154 to 0. In terms of neutron flux measurement, except for the absolute count, the variation trends of the measurement data from the liquid scintillation detector and the fission chamber detector almost completely coincide. Therefore, the experimental data demonstrate that the liquid scintillation detector still exhibits excellent  $n/\gamma$  discrimination performance under 159 the corresponding count rate conditions. 160

For shot #6002, at the end of the NBI, the neutron flux data still remain at a relatively high level, and then the neutron flux decays exponentially. This process reflects the slowing-down behavior of fast ions in the plasma [25]. Based on the data, the fast-ion confinement time corresponding to the plasma pa-165 rameters is roughly estimated to be on the order of tens of milliseconds. For shot #6003, at the moment of the disruption, the neutron flux drops abruptly to 0, indicating that after the plasma disruption occurs, there is no time for the fast-ion 170 slowing-down process to take place.

Excellent  $n/\gamma$  discrimination performance indicates that the 171 172 liquid scintillation neutron spectrometer is capable of identi-173 fying neutron signals from a large number of pulses, thereby obtaining the correct pulse amplitude spectrum of neutron 175 signals. The PSD parameter is defined as the pulse-shape dis-176 crimination factor, which can be expressed by the following 177 formula.

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$$PSD = 1 - \frac{Q_{slow}}{Q_{total}} \tag{1}$$

151 a duration of 270 ms. The reason is that a plasma disruption 180 components and the total charge of the current pulse [26], <sub>152</sub> occurred at about 1780 ms in shot #6003, which led to an <sub>181</sub> respectively. The  $n/\gamma$  discrimination effect of the liquid scin-

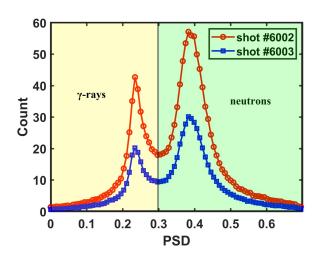


Fig. 3. (Color online) The  $n/\gamma$  discrimination effect of the liquid scintillation neutron spectrometer. The light-yellow area represents the  $\gamma$ /X-ray signals, while the light-green area represents the neutron signals.

As can be seen from Fig. 3, although the neutron signals and  $\gamma/X$ -ray signals overlap near the PSD value of 0.3, both 185 types of signals can form distinct peaks. This indicates that 186 the liquid scintillation neutron spectrometer indeed has an ex-

cellent ability to distinguish between neutrons and  $\gamma$ /X-rays. 188 In the figure, the height of the  $\gamma/X$ -ray peak is lower and the 189 width is narrower than that of the neutron peak, suggesting that more neutron signals are measured by the liquid scintillation neutron spectrometer. This is due to the presence of a  $\gamma$ /X-ray shield around the liquid scintillation neutron detector 192 while there is no neutron shield at all. 193

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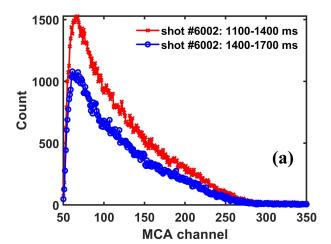
In fact, the overlapping part is mainly caused by the superposition of low-amplitude pulse signals under high-count-rate conditions. There are mainly two reasons: First, the pulse amplitude spectrum measured in the experiment is a continuous spectrum, and the number of low-amplitude pulse signals is much larger than that of high-amplitude pulse signals. Therefore, it is mainly the low-amplitude pulses that are superimposed. Second, even if a low-amplitude pulse is superimposed with a high-amplitude pulse, the low-amplitude pulse is not likely to affect the discrimination result of the high-amplitude pulse. Compared with low-amplitude pulse signals, high-amplitude pulse signals are the key research objects in neutron spectrum measurements. Therefore, the over-207 lapping part has a very limited impact on the measurement of 208 fusion neutron spectra.

#### C. Pulse amplitude spectrum measurements

Having verified the  $n/\gamma$  discrimination performance of the 211 liquid scintillation neutron spectrometer, we can derive the so-called neutron pulse amplitude spectrum by conducting a statistical analysis of the amplitude distribution of neutron pulses. In Fig. 2, the time resolution of the neutron count evolution curve is 1 ms. Nevertheless, it is quite clear that the time resolution achievable in neutron pulse amplitude spectrum measurement cannot reach 1 ms. The reason lies in 218 the fact that a few hundred counts simply cannot constitute 219 a pulse amplitude spectrum with statistical significance. Empirically, to ensure that the measured pulse amplitude spectrum has statistical significance, the total count across the full spectrum must reach the order of 10<sup>4</sup>. By making an estimate based on the data presented in Fig. 2, we find that the neutron count rate is roughly on the order of several hundred kcps. As a result, the time resolution of the neutron pulse amplitude spectrum measurement is on the order of 100 ms.

Indeed, the measurement of the neutron pulse amplitude spectrum with this time resolution is fully capable of fulfill-228 ing the prerequisites for the investigation into the evolution of the fast ion velocity distribution within the HL-3 tokamak. As previously declared in the introduction, these requirements have been precisely defined to guide the relevant research. Under this background, we measured the neutron pulse amplitude spectra of shot #6002 and shot #6003 with a time resolution on the order of 100 ms, as depicted in Fig. 4.

in Fig. 4(a) has a time resolution of 300 ms, whereas the one 255 lution of 100 ms, it is essential to carry out in-depth research 238 in Fig. 4(b) features a time resolution of 100 ms. Both spec- 256 on the neutron spectrum unfolding, whose ultimate goal is to 239 tra demonstrate good statistical properties. Evidently, Fig. 4 257 enable the conversion from neutron pulse amplitude spectra 240 shows that we have successfully accomplished the measure- 258 to neutron spectra. In this paper, 'neutron spectrum' refers to 241 ment of the neutron pulse amplitude spectrum with a time 259 the 'energy spectrum of neutrons'.



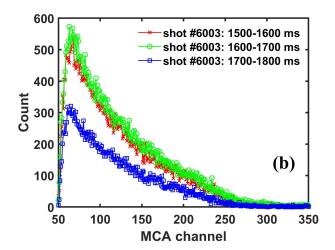


Fig. 4. (Color online) The measured neutron pulse amplitude spectra for (a) shot #6002 and (b) shot #6003.

242 resolution of 100 ms.

Nevertheless, simply measuring the evolution of the pulse 244 amplitude spectrum is insufficient for analyzing the evolution 245 behavior of the fast ion velocity distribution. The reason lies 246 in the fact that the various neutron pulse amplitude spectra 247 presented in the figure share similar shapes. This similarity 248 poses a significant challenge in detecting subtle differences 249 among them. Additionally, it is extremely difficult, if not 250 impossible, to clarify the corresponding relationship between 251 the pulse amplitude spectrum and the fast ion velocity distri-

In order to fulfill the purpose of this study, that is, to acquire As depicted in Fig. 4, the neutron pulse amplitude spectrum 254 the measurement of fusion neutron spectra with a time reso-

## NEUTRON SPECTRUM UNFOLDING

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In this section, the GRAVEL method is adopted to conduct neutron spectrum unfolding. This process encompasses several crucial aspects: the energy calibration of the liquid scintillation neutron spectrometer, which is fundamental for accurate energy determination; the meticulous calculation of the detector response matrix for neutrons, enabling a proper 307 understanding of how the detector responds to neutrons of different energies; and iterative spectrum unfolding, a step-bystep refinement process to obtain the most accurate neutron 300 neutrons can generally be obtained through calculation. How-270 spectrum. This systematic approach ensures the precision and reliability of the neutron spectrum unfolding.

## the GRAVEL method

The GRAVEL method represents an iterative unfolding al-273 274 gorithm that makes a minor modification to the SAND-II al-275 gorithm. The iterative process of the GRAVEL method for 276 deriving the neutron spectrum is illustrated by the following 277 equations [27, 28].

$$\phi_j^{k+1} = \phi_j^k \exp\left[\frac{\sum_i W_{ij}^k \ln\left(\frac{N_i}{\sum_{j'} R_{ij'} \phi_{j'}^k}\right)}{\sum_i W_{ij}^k}\right] \tag{2}$$

 $_{280}$  in the neutron pulse amplitude spectrum, where i is the chan- $_{329}$  spond to the 1.171 MeV and 1.332 MeV gamma rays emitted nel address index.  $\phi_j^k$  represents the neutron count in the jth 330 by the  $^{60}$ Co gamma source, respectively. The Compton edge  $^{282}$  energy interval of the neutron energy spectrum after kth itera- $^{331}$  corresponds to the maximum energy of recoil electrons gener-283 tion, with j and j' being the energy interval indices. k stands 332 ated by Compton scattering, and its energy can be expressed for the iteration number.  $R_{ij}$  is the response matrix coupling 333 by the following equation [30]. 285 the *i*th pulse height interval to the *j*th energy interval, and  $W_{ij}^{k}$  is a weight factor defined as,

$$W_{ij}^{k} = \frac{R_{ij}\phi_{j}^{k}N_{i}}{\sum_{j'}R_{ij'}\phi_{j'}^{k}}$$
 (3)

For the iterative algorithm adopted in this paper, the stop-288 289 ping conditions are set as follows. The iterative index  $\hat{J}^k$ needs to be made small enough (for instance, less than 0.1 or 0.01; the actual value is related to the experimental data). Meanwhile, the number of iterations k is required to be no more than 300, since a large k can easily result in overfitting. The iterative index  $J^k$  is expressed by the equation 295 below [29].

$$J^{k} = \frac{\sum_{i} (N_{i} - R_{ij} * \phi^{k})^{2}}{\sum_{i} R_{ij} * \phi^{k}}$$
(4)

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in which,  $\phi^k$  denotes the full neutron energy spectrum after 348 can be obtained (see Fig. 5). kth iteration.

300 provided that the response matrix and the pulse amplitude 351 tained. Subsequently, an energy calibration curve can be plot-

302 retrieved via spectrum unfolding. Nevertheless, up to the 303 present moment, only the pulse amplitude spectrum has been experimentally determined. Consequently, the acquisition of the response matrix stands as an essential condition for the 306 successful unfolding of the neutron energy spectrum.

## B. Energy calibration

The response matrix of a liquid scintillation detector to ever, prior to calculating the response matrix, energy calibra-311 tion of the detector is essential. Unlike the energy calibration 312 of commonly used gamma detectors, that of liquid scintilla-313 tion detectors is more intricate. This is primarily because nei-314 ther full - energy peaks are detectable when measuring neu-315 trons and  $\gamma/X$ -rays with a liquid scintillation detector. Given 316 the impracticality of obtaining an absolutely mono-energetic neutron beam, this paper employs <sup>137</sup>Cs and <sup>60</sup>Co gamma sources for the energy calibration of the liquid scintillation 319 detector. Although full energy peaks still do not appear in 320 the measured gamma pulse amplitude spectrum, the Comp-321 ton edges can assist us in determining the correspondence be-322 tween energy and the channel addresses of the multi-channel analyzer (MCA), thereby achieving energy calibration, as il-

(2) 324 lustrated in Fig. 5.
325 In Fig. 5(a), the Compton edge of the 0.662 MeV gamma 326 rays emitted by the <sup>137</sup>Cs gamma source can be observed. In 327 Fig. 5(b), two Compton edges are visible. From the low - $_{279}$  in which,  $N_i$  denotes the measured count of the ith channel  $_{328}$  energy to the high - energy side, these Compton edges corre-

$$E_c = \frac{2E_{\gamma}^2}{m_c c^2 + 2E_{\gamma}} \tag{5}$$

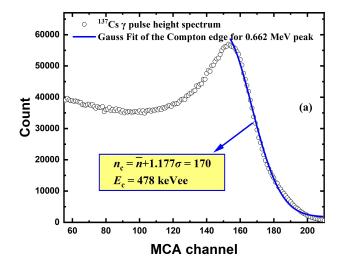
(3)  $_{335}$  in which,  $E_c$  denotes the energy corresponding to the Comp-336 ton edge,  $E_{\gamma}$  represents the initial energy of the gamma rays  $_{
m 337}$  emitted by the radioactive source,  $m_e$  stands for the rest mass  $_{338}$  of the electron, c signifies the speed of light.

After determining the energy corresponding to the Comp-340 ton edge, it is also necessary to determine the MCA channel 341 corresponding to the Compton edge. According to previous studies [31], the MCA channel corresponding to the Compton 343 edge can be expressed by the following equation.

$$n_c = \bar{n} + 1.177\sigma \tag{6}$$

(4)  $^{345}$  in which,  $n_c$  denotes the MCA channel corresponding to the 346 Compton edge. If the Compton edge is fitted using a Gaussian 347 function, the expected value  $\bar{n}$  and the standard deviation  $\sigma$ 

Based on Equations. (5) and (6), the energy and the cor-It is quite apparent that, based on Equations. (2) to (4), 350 responding MCA channel of the Compton edge can be obspectrum are known, the neutron energy spectrum  $\phi$  can be 352 ted (as shown in Fig. 6), thereby accomplishing the energy



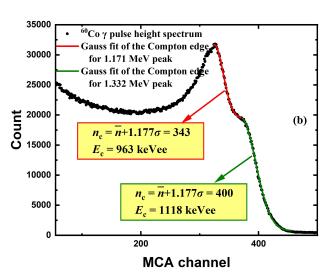


Fig. 5. (Color online) The measured gamma pulse amplitude spec- 382 tra for (a) <sup>137</sup>Cs and (b) <sup>60</sup>Co gamma sources. The Compton edges <sup>383</sup> can help to determine the correspondence between energy and MCA 384 tinct full widths at half maximum (FWHM) based on their channel.

calibration of the liquid scintillation detector. As can be observed from the figure, there is an excellent linear relationship  $_{\rm 355}$  between the energy and the MCA channel. It should be noted  $^{\rm 388}$ that the ordinate in the figure represents the light output, mea-357 sured in electron equivalent energy (keVee), which essentially 358 refers to the electron deposited energy.

## Response matrix calculations

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360 radiations and is determined by the cross-sections of pho- 399 rameters are scanned within a certain range. For each set of ton interactions within the detector [32]. This initial response 400 GEB parameters, the broadened gamma energy spectrum is 364 function is broadened by multiple factors. There are varia- 401 calculated, and the standard deviation between the calculated 365 tions in light generation and light collection efficiency. Pho-402 spectrum and the normalized experimental spectrum is deter-

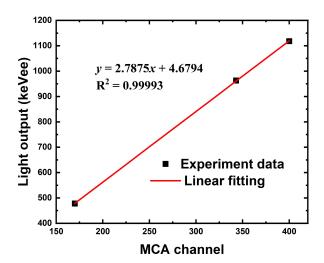


Fig. 6. (Color online) The energy calibration curve.

ton production in a scintillator has a statistical nature, the number of generated electrons in the detector fluctuates, the detector has inherent variations, and there is electronic noise. Together, these elements - light generation variation, statistical photon production, light collection efficiency variation, electron generation fluctuation, detector inherent variation, and electronic noise - broaden the initial response function [33].

To accurately describe the response function in relation to the measured spectra, individual and collective calculations of the physical effects that each factor has on broadening are necessary. However, this process is highly intricate. Instead of computing each physical phenomenon separately, the Gaussian Energy Broadening (GEB) treatment in MCNP offers a virtual peak broadening mechanism [34]. This mechanism encompasses all the broadening effects, simplifying the complex calculation process. The peaks of the initial spectrum must be broadened into Gaussian peak shapes with dis-385 respective energies. The FWHM can be expressed by the fol-386 lowing equation.

$$FWHM = a + b\sqrt{E + cE^2}$$
 (7)

in which, E represents the energy, with the unit of keVee. The GEB coefficients a, b, and c are fitting parameters, the values of which need to be determined through experiments.

Since the full-energy peak of gamma rays cannot be measured using a liquid scintillation detector, and the peak cor-393 responding to the measured Compton edge does not follow 394 a Gaussian distribution, the GEB parameters cannot be fitted 395 by obtaining the FWHM. In this paper, an alternative method 396 is adopted to obtain the GEB parameters. First, the gamma The response function of a detector is defined as the differ- 397 energy spectra of <sup>137</sup>Cs and <sup>60</sup>Co before broadening are calential pulse height distribution for incident mono-energetic 398 culated based on the Geant4 code [35]. Then, the GEB pa404 imum standard deviation between the calculated and experi-439 uid scintillation detector, A=0.62, B=1.3, C=0.39405 mental spectra is selected. The method determines the GEB 440 D=0.97 [36]. 406 parameters as follows: a = 21.6, b = 0.114, c = 0.36. Fig. 7 441 407 presents the comparison diagrams between the experimental 442 tion, it becomes possible to calculate the response function of  $^{408}$   $\gamma$ -ray spectra of  $^{137}$ Cs and  $^{60}$ Co and the corresponding  $\gamma$ -ray  $^{443}$  the liquid scintillator to neutrons. To verify the accuracy of 409 spectra simulated by Geant4.

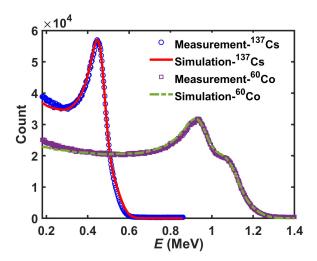


Fig. 7. (Color online) Comparison diagrams of the experimental  $\gamma$ ray spectra of  $^{137}\mathrm{Cs}$  and  $^{60}\mathrm{Co}$  with the  $\gamma$ -ray spectra simulated by Geant4.

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As can be observed from Fig. 7, apart from a slight devi-411 ation between the Geant4 simulated  $\gamma$ -ray spectrum and the 412 experimental  $\gamma$ -ray spectrum at the low energy part, the two 413 spectra exhibit a high degree of overall agreement. This indicates that the Geant4 simulated  $\gamma$ -ray spectrum broadened using the GEB parameters provided in this paper can accu-416 rately represent the response function of the liquid scintilla-417 tion detector to  $\gamma$ -rays. At the low energy part of the  $\gamma$ -ray 418 spectrum, the deviation between the Geant4 simulated  $\gamma$ -ray 419 spectrum and the experimental  $\gamma$ -ray spectrum is presumably 452  $_{420}$  due to the fact that electronic noise and background  $\gamma$  radia- $_{453}$  the experimental neutron spectrum and the simulated neutron tion were not taken into account in the simulation. 421

422 423 liquid scintillation detector to  $\gamma$ -rays has been achieved, the 456 424 response of the liquid scintillation detector to neutrons is not 457 425 entirely the same as that to  $\gamma$ -rays. Therefore, it is neces- 458 sary for us to conduct research on the detector's response 459 to neutrons. The main difference between the responses of 460 neutron spectrometer for fusion neutrons on the HL-3 tokathe liquid scintillation detector to neutrons and  $\gamma$ -rays lies in  $^{461}$  mak, a simplified model was developed using Geant4 for the the fact that neutrons generate fluorescence in the scintillator 462 application scenario of this spectrometer. Since the liquid through recoil protons, while  $\gamma$ -rays emit fluorescence via re- 463 scintillation neutron spectrometer developed for HL-3 is pricoil electrons. Moreover, recoil protons and recoil electrons 464 marily used to measure the energy spectrum of D-D fusion of the same energy induce different light outputs. The semi- 465 neutrons (about 2.45 MeV), in principle, it is only necessary empirical formula for calculating the light output of recoil 466 to cover neutrons within the energy range of 2 - 3 MeV. The protons in the scintillator is presented as follows [36].

$$L(E_{\rm p}) = AE_{\rm p} - B\left(1 - e^{-CE_{\rm p}^D}\right)$$
 (8)

437 alent units.  $E_{\rm p}$  represents the proton energy, and A, B, C, 472 and the first wall. The response matrix of the liquid scintilla-

 $_{403}$  mined. Finally, the set of GEB parameters that yields the min- $_{438}$  and D are the fitted parameters. For the 2-inch EJ-309 liq-

After incorporating Equation. (8) into the Geant4 simula-444 the response function calculations, we measured the energy 445 spectrum of the Am-Be neutron source in Sichuan Univer-446 sity [37] using the liquid scintillation detector. Subsequently, we carried out calculations with Geant4 code based on the 448 experimental conditions, thereby obtaining the simulated en-449 ergy spectrum of the Am-Be neutron source. The comparison 450 between the experimental and simulated spectra is presented 451 in Fig. 8.

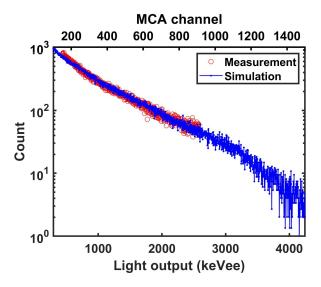


Fig. 8. (Color online) Comparison diagrams of the experimental neutron spectrum of the Am-Be neutron source with the neutron spectrum simulated by Geant4.

As shown in Fig. 8, there is a good agreement between 454 spectrum. The experimental data are only capable of mea-Although the calculation of the response function of the 455 suring the energy range presented in the figure. This result validates the feasibility of using Geant4 code to accurately calculate the response matrix of the liquid scintillation detector to neutrons.

To obtain the response matrix of the liquid scintillation 467 neutron emission profile is regarded as an elliptical profile, 468 and Gaussian distribution sampling is required in both the ra-(8) 469 dial and vertical directions [38]. The geometric dimensions 470 considered in the Geant4 simulation are similar to those of where the light output  $L(E_p)$  is expressed in electron equiv- 471 HL-3, but the model only includes a simplified vacuum vessel

474 shown in Fig. 9.

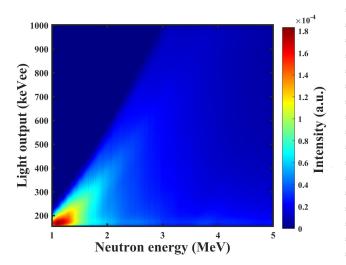


Fig. 9. (Color online) The response matrix of the liquid scintillation neutron spectrometer to neutrons calculated by Geant4.

# D. Spectrum unfolding and verification

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Currently, the response matrix and the neutron pulse amplitude spectrum have been obtained, so the spectrum can be unfolded using the GRAVEL method described in section III A.

To verify the correctness of the spectrum unfolding method, we define three cases of D-D neutron spectra here. 480

Case 1: The peak energy  $\mu_1 = 2.3$  MeV and the ion temper-481 482 ature  $T_{i1} = 4 \text{ keV}$ .

Case 2: The peak energy  $\mu_2=2.45~{\rm MeV}$  and the ion temper-483 ature  $T_{i2} = 2 \text{ keV}$ . 484

Case 3: The peak energy  $\mu_3 = 2.6$  MeV and the ion temper-485  $_{\mbox{\scriptsize 486}}$  ature  $T_{{\rm i}3}=1$  keV.

In a plasma, the Doppler shift phenomenon may occur 487 in the neutron energy spectrum, and this phenomenon has been observed in magnetically confined fusion devices [39]. Therefore, setting different peak energies here is to consider whether the Doppler - shifted neutron energy spectra can be unfolded correctly. Different ion temperatures in the plasma will lead to different broadenings of the neutron energy spec-494 trum. Different ion temperatures are set to verify whether <sup>495</sup> neutron energy spectra with different broadenings can be cor-496 rectly unfolded.

In a thermonuclear fusion plasma in thermal equilibrium, 497 498 the ion velocities follow a Maxwellian distribution, and the neutron energy spectrum generated by the fusion of fuel ions follows a Gaussian distribution, and its FWHM in keV is shown in the following equations [40].

$$FWHM_{DD} = 82.54\sqrt{T_{i}(\text{keV})}$$

$$FWHM_{DT} = 177.3\sqrt{T_{i}(\text{keV})}$$
(9)

503 where FWHM<sub>DD</sub> refers to the full width at half maximum of 544 of the three cases. Moreover, it is visually evident that the un- $_{504}$  the D-D fusion neutron energy spectrum,  $\mathrm{FWHM}_{\mathrm{DT}}$  refers  $_{545}$  folding pulse amplitude spectra are in good overall agreement

473 tion neutron spectrometer to neutrons calculated by Geant4 is 505 to the full width at half maximum of the D-T fusion neutron  $_{506}$  energy spectrum, and  $T_{\rm i}({\rm keV})$  represents the ion temperature with the unit of keV.

> According to Equation. (9), the FWHM of the D-D neutron 509 energy spectrum for each case can be obtained. For case 1, the FWHM of its neutron energy spectrum is 165 keV. For case 2, the FWHM of its neutron energy spectrum is 117 keV. For case 3, the FWHM of its neutron energy spectrum is 85 keV.

> In the Geant4 simulation, initial neutron energy spectra with Gaussian distributions are respectively set for the above three cases. Then, the response functions of the liquid scintillation neutron spectrometer to neutrons under the three cases are calculated separately, which are the simulated neutron pulse amplitude spectra. Combining with the response matrix (as shown in Fig. 9), the GRAVEL method can be used to unfold the neutron energy spectrum. The unfolding neutron energy spectra are compared with the initially set simulation neutron energy spectra in the three cases, and the results are 523 presented in Fig. 10.

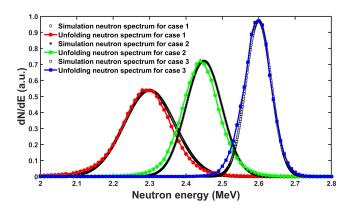


Fig. 10. (Color online) Comparisons between unfolding neutron energy spectra and simulation neutron energy spectra.

Fig. 10 illustrates three neutron peaks conforming to Gaus-525 sian distributions. In the order from left to right, they respec-526 tively correspond to case 1, case 2, and case 3. Each of these 527 neutron peaks demonstrates unique peak energies and peak 528 widths. Notably, for each individual neutron peak, a remark-529 able agreement can be observed between the simulation neu-530 tron spectrum and the spectrum retrieved via the unfolding 531 procedure.

To further evaluate the performance of the spectrum un-533 folding, we conducted a comparison between the simula-534 tion pulse amplitude spectra and the unfolding pulse ampli-535 tude spectra for the three cases. The results are presented in 536 Fig. 11.

It can be clearly observed from Fig. 11 that the pulse am-538 plitude spectra under the three cases have distinct maximum 539 MCA channels. This indicates that the neutron energy spectra 540 in the three cases possess different energy levels. Specifically, 541 the maximum energy of case 1 is the lowest among the three, followed by that of case 2, and the maximum energy of case 3 543 is the highest, which is in accordance with the peak energies

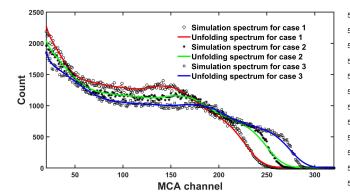


Fig. 11. (Color online) Comparisons between unfolding neutron pulse amplitude spectra and simulation neutron pulse amplitude spectra.

with the simulation pulse amplitude spectra.

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#### EXPERIMENTAL DATA ANALYSES

Since Fig. 10 and 11 have validated the correctness of the 549 spectrum unfolding method from the perspectives of the neutron energy spectrum and the neutron pulse amplitude spectrum respectively, the next step is to apply this spectrum unfolding method to conduct a spectrum unfolding study on the neutron pulse amplitude spectra measured in the experiment shown in section IIC. For the very first time, this section is set to reveal the measurement findings of the time-dependent 613 555 evolution of the neutron energy spectrum obtained from the 614 each measured within a 100 ms time span, are presented. 556 HL-3 tokamak. 557

559 amplitude spectra measured by the liquid scintillation neu- 617 fully accomplished neutron energy spectrum measurements tron spectrometer for shots #6002 and #6003 in the HL- 618 with a remarkable time resolution of 100 ms. In the realm tokamak using the GRAVEL method. Moreover, it visually demonstrates the unfolding effect from the perspective of 620 search frontier. The successful realization of 100 ms timethe pulse amplitude spectra. Specifically, Fig. 12(a) depicts 621 resolved neutron energy spectrum measurements has opened the neutron energy spectra obtained by unfolding the neutron 622 up new possibilities for the HL-3 tokamak to explore plasma pulse amplitude spectra of shot #6002. Fig. 12(b), corre- 623 disruption from the vantage point of the evolution of the ion sponding to Fig. 12(a), shows the neutron pulse amplitude 624 velocity distribution. A close examination of the three specspectra, which clearly reveal the unfolding effect. Similarly, 625 tra in Fig. 12(c) reveals that they bear a strong resemblance in Fig. 12(c) shows the neutron energy spectra derived from the 626 terms of shape. Despite a subtle tendency of the peak energy neutron pulse amplitude spectra of shot #6003. Fig. 12(d), 627 to shift towards the lower energy range, this trend is rather corresponding to Fig. 12(c), presents the neutron pulse am- 628 inconspicuous. This implies that the fast ion velocity distriplitude spectra, effectively demonstrating the unfolding out- 629 bution within this NBI plasma remains relatively stable withcome. As can be seen from Fig. 12(b) and (d), the spectrum 630 out any distinct alterations. Nevertheless, it should be noted unfolding effects in Fig. 12(a) and (c) are quite good. This is 631 that to comprehensively investigate the changes in the fast ion because the pulse amplitude spectra obtained through unfold-575 ing are highly consistent with the experimentally measured 633 tion occurs, a more extensive dataset regarding the evolution pulse amplitude spectra. The relatively obvious discrepancies 634 of the neutron energy spectrum is indispensable. only occur at the low energy end (see the yellow area in the 635 identified  $\gamma$  signals.

<sub>582</sub> neutron energy spectra in two different time periods both ex- <sub>640</sub> FWHM of the neutron energy spectrum shown in Fig. 12(a)

583 hibit a peaked distribution. However, the shape of the spectra, 584 especially the blue neutron spectrum, deviates from the Gaussian distribution. This phenomenon is quite understandable because in the NBI plasma, the ion velocity distribution has already deviated from the Maxwellian distribution. The low energy ends of the two neutron spectra are significantly elevated compared to the high energy ends. The reasons for this result mainly include two aspects: The environment where the detector is located is filled with a large number of scattered neutrons with relatively low energy; Under high count rate conditions, the liquid scintillation neutron spectrometer cannot completely distinguish the low amplitude  $n/\gamma$  pulse signals (see Fig. 3).

In addition, the peak energy of the blue neutron spectrum is approximately 2.35 MeV, which deviates by 0.1 MeV from the typical energy of D-D fusion neutrons, 2.45 MeV. The 599 likely reason for this result is that the anisotropy of the fast ion velocity distribution becomes prominent, leading to a significant increase in the Doppler-shift component. In terms of the measurement time, the measurement time for both neutron spectra is 300 ms, which means that the time resolution of the liquid scintillation neutron spectrometer can reach 300 ms. Moreover, the neutron flux during the time corresponding to the red neutron spectrum in Fig. 12(a) is higher than that during the time corresponding to the blue neutron spectrum 608 (see Fig. 2). And during the time corresponding to the blue 609 neutron spectrum, the anisotropic component of fast ions in-610 creases. This phenomenon may indicates that the anisotropy of fast ions is detrimental to the confinement characteristics 612 of fast ions.

As depicted in Fig. 12(c), three neutron energy spectra, 615 This vividly demonstrates that the liquid scintillation neu-Fig. 12 presents the results of unfolding the neutron pulse 616 tron spectrometer installed on the HL-3 tokamak has successof plasma physics, plasma disruption represents a crucial re-632 velocity distribution prior to the moment that plasma disrup-

According to the measurement results of the charge ex-578 figures). This discrepancy is predominantly attributed to elec- 636 change recombination spectroscopy (CXRS) diagnostics, the 579 tronic noise, low energy scattered neutrons, and inaccurately 637 core ion temperatures of shots #6002 and #6003 are approx-638 imately 1 keV. In a thermally equilibrated plasma, the FWHM From the shape of the neutron spectrum in Fig. 12(a), the 699 of the neutron energy spectrum is approximately 82 keV. The

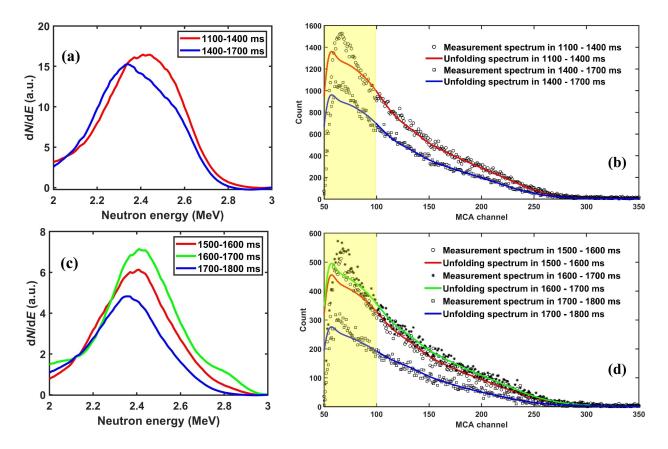


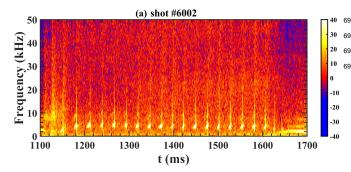
Fig. 12. (Color online) Time evolution of the unfolding neutron spectrum normalized to the same NBI power for (a) shot #6002 and (c) shot #6003, and the actual unfolding effect for (b) shot #6002 and (d) shot #6003 from the perspective of neutron pulse amplitude spectra.

641 is approximately 0.4 MeV, and that shown in Fig. 12(c) is ap-666 642 proximately 0.35 MeV. This FWHM is significantly several 643 times wider than that of the neutron energy spectrum gen-644 erated by a thermally equilibrated plasma. There are two 645 possible reasons for this result: Firstly, a large number of 646 fast ions exist in the NBI plasma, causing the ion velocity distribution to deviate significantly from the Maxwellian distribution, which leads to a larger width. Secondly, the liquid scintillation neutron spectrometer is not equipped with 650 a neutron collimator, allowing neutrons generated throughout the entire plasma to enter the detector and be counted in the energy spectrum. Since the neutron energy spectra generated at different spatial positions have different degrees of Doppler shift, the width of the neutron spectrum increases significantly. In addition, it can be seen that shot #6002 has a wider neutron energy spectrum than shot #6003. This is due to the differences in the fast ion velocity distributions between the two shots caused by different plasma parameters (see  $n_{\rm e}$  and  $T_{\rm e}$  in Fig. 2) and MHD activities (see Fig. 13). 660 From Fig. 13(a), it can be observed that the plasma in shot #6002 predominantly exhibits significant sawtooth instabil-662 ity. Fig. 13(b) reveals that the plasma in shot #6003 is characterized by multiple MHD instabilities, among which the 1/1 mode dominates with its frequency gradually increasing over 687 tra were successfully converted into neutron energy spectra, 665 time.

# V. SUMMARY

To study the time evolution of the fast ion velocity distribu-668 tion in the plasma, the HL-3 tokamak has recently developed 669 a neutron spectrometer based on a liquid scintillation detec-670 tor. The liquid scintillation detector has excellent n/ $\gamma$  discrim-671 ination capabilities, enabling it to be used for measuring the 672 neutron pulse height spectrum with a certain time resolution 673 in the fusion neutron field.

First, this paper verified the outstanding  $n/\gamma$  discrimination 675 performance of the liquid scintillation neutron spectrometer 676 by comparing it with the neutron flux measurement system 677 based on a fission chamber in measuring the neutron flux 678 evolution curve. Then, the liquid scintillation neutron spec-679 trometer was used to measure the neutron pulse height spec-680 trum with a certain time resolution (on the order of hundreds 681 of milliseconds) on the HL-3 tokamak. Next, a complete 682 GRAVEL spectrum unfolding method (including the spec-683 trum unfolding algorithm, energy calibration of the liquid 684 scintillation detector, Geant4 simulations of the response ma-685 trix, and verification of the spectrum unfolding results, etc.) 686 was studied. Finally, the measured neutron pulse height spec-688 and the time evolution of the neutron energy spectrum ob-689 tained was analyzed.



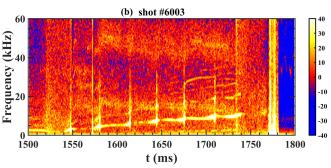


Fig. 13. (Color online) Frequency spectra of magnetic probe signals for shots (a) #6002 and (b) #6003. The MHD activity in shot #6002 is dominated by a sawtooth instability at approximately 40 Hz, whereas shot #6003 exhibits a dominant 1/1 mode whose frequency increases over time.

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The best time resolution of the neutron energy spectrum obtained is 100 ms. This time resolution means that the HL-3 692 tokamak has, for the first time, the ability to experimentally study the time evolution of the fast ion velocity distribution.

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